

PATENT COOPERATION TREATY

PCT

REC'D 31 MAY 2002

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

|  |  |  |  |
|--|--|--|--|
| Applicant's or agent's file reference<br>P99048WO1A /11938eg                             |  | FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |  |
| International application No.<br>PCT/US01/09313  | International filing date (day/month/year)<br>23/03/2001 | Priority date (day/month/year)<br>23/03/2001   | RECEIVED<br>JUL 28 2002<br>TECH CENTER 1600/2900 |
| International Patent Classification (IPC) or national classification and IPC<br>C08F8/32 |  |  |  |
| Applicant<br>BRIDGESTONE CORPORATION et al.  |  |  |  |

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.


2. This REPORT consists of a total of 4 sheets, including this cover sheet.

- ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

|   |  |
|---|--|
| Date of submission of the demand<br><br>18/10/2001  | Date of completion of this report<br><br>29.05.2002                    |
| Name and mailing address of the international preliminary examining authority:<br><br> European Patent Office<br>D-80298 Munich<br>Tel. +49 89 2399 - 0 Tx: 523656 epmu d<br>Fax: +49 89 2399 - 4465 | Authorized officer<br><br>Lux, R<br><br>Telephone No. +49 89 2399 8593 |



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/US01/09313

**I. Basis of the report**

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, pages:**

1-24 as originally filed

**Claims, No.:**

1-13 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).  
☐ the language of publication of the international application (under Rule 48.3(b)).  
☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.  
☐ filed together with the international application in computer readable form.  
☐ furnished subsequently to this Authority in written form.  
☐ furnished subsequently to this Authority in computer readable form.  
☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.  
☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US01/09313

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

## **V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

### **1. Statement**

|                               |      |        |      |
|-------------------------------|------|--------|------|
| Novelty (N)                   | Yes: | Claims | 1-13 |
|                               | No:  | Claims |      |
| Inventive step (IS)           | Yes: | Claims | 1-13 |
|                               | No:  | Claims |      |
| Industrial applicability (IA) | Yes: | Claims | 1-13 |
|                               | No:  | Claims |      |

### **2. Citations and explanations see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/US01/09313

**Re Item V**

**Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

The novelty (Art. 33 (2) PCT) and the inventive step (Art. 33 (3) PCT) of the processes of claims 1 and 13 is not anticipated by the disclosures of the cited documents.

In particular, the documents D1 (US-A-5 905 116), D2 EP-A-0 955 329), D3 (EP-A-0 955 319), and D4 (EP-A-0 955 316) do not suggest a process for producing (extended) polyalkylene-grafted interpolymer as defined in present claims 1 and 13 wherein the essential steps a), b) and c) are accomplished in the same vessel (eg a mixer).

This simplified process saves processing time (no intermediate removal and grinding necessary) and provides materials having well balanced tensile strength, damping properties, and thermostability (thermally recyclable at 160 °C, service temperature of up to 100 °C).

The most relevant prior art documents should have been acknowledged in the description (Rule 5.1 (a)(ii) PCT).

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

REC'D 31 MAY 2002

1. 20 PCT

PCT

To:

**The International Bureau of WIPO**  
34, chemin des Colombettes  
CH - 1211 Geneva 20  
Switzerland

NOTIFICATION CONCERNING  
DOCUMENTS TRANSMITTED

Date of mailing  
(day/month/year)

29.05.2002

International application No: PCT/US01/09313

This International Preliminary Examining Authority transmits herewith the following documents:

1. ☐ demand (Rule 61.1(a)).
2. ☒ copy of the international preliminary examination report and its annexes (Rule 71.1).
3. ☐ \_\_\_\_\_ other documents (*specify*):

Name und mailing address of the IPEA/



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D-80298 Munich  
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Fax: +49 89 2399 - 4465

Authorized officer

Le Bolloch, C

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## PCT REQUEST

P99048WO1A

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|         |                           |   |
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| III-2   | Applicant and/or inventor |   |
| III-2-1 | This person is:           | applicant and inventor  |
| III-2-2 | Applicant for             | US only   |
| III-2-4 | Name (LAST, First)        | FOLTZ, Victor   |
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| III-2-6 | State of nationality      | US  |
| III-2-7 | State of residence        | US  |
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| III-4   | Applicant and/or inventor |   |
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| III-5-1 | This person is:           | applicant and inventor  |
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## PCT REQUEST

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|         |   |  |
|---------|---|--|
| III-6   | Applicant and/or inventor   |  |
| III-6-1 | This person is:   | applicant and inventor   |
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| III-6-6 | State of nationality  | JP   |
| III-6-7 | State of residence  | JP   |
| III-7   | Applicant and/or inventor   |  |
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| III-7-6 | State of nationality  | JP   |
| III-7-7 | State of residence  | JP   |
| IV-1    | Agent or common representative; or<br>address for correspondence<br>The person identified below is hereby/has<br>been appointed to act on behalf of the<br>applicant(s) before the competent<br>International Authorities as: | agent  |
| IV-1-1  | Name (LAST, First)  | HORNICKEL, John  |
| IV-1-2  | Address:  | Bridgestone/Firestone, Inc.<br>I.P. Law Dept.<br>1200 Firestone Parkway<br>Akron, OH 44317<br>United States of America                             |
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